



**Astellas Pharma Australia Pty Ltd
Modern Slavery Statement for the Year Ended 31 March 2023**

1. Introduction / Background

Who is covered by this Modern Slavery statement?

This Modern Slavery statement (**Statement**) is made by Astellas Pharma Australia Pty Ltd (ABN 81 147 915 482) with registered office at Level 2, 2 Banfield Rd, Macquarie Park NSW 2113 Australia (referred to as **Astellas Australia**) in accordance with the Australian *Modern Slavery Act 2018* (Cth) (the **Act**) and covers the financial year from 1 April 2022 to 31 March 2023. No other entities are covered by this Statement.

Astellas Australia together with other Astellas group companies (**Astellas** in this Statement) is dedicated to improving the health of people around the world through the provision of innovative and reliable pharmaceutical products. To find out more about what we do and our values, please go to: <https://www.astellas.com/en>.

Astellas Pharma Inc. (Astellas Australia's parent company) is a signatory to the United Nations Global Compact which demonstrates our existing voluntary support for achieving sustainable growth, including our respect for internationally proclaimed human rights and our desire to ensure that we are not complicit in human rights abuses. In June 2023, Astellas Pharma Inc. was included in the FTSE4 Good Sustainability Index for the twelfth consecutive year (with the period evaluated being the financial year ended 31 March 2023) which includes human rights and labor standards as part of its selection criteria.

We know that slavery, servitude, forced or compulsory labor and human trafficking (collectively referred to as **Modern Slavery** in this Statement) is a global, complex and increasing challenge for governments and business. Astellas is committed to doing its part to monitor and remediate any such issues it identifies in its operations and supply chains.

As a business Astellas recognises our responsibility to respect human rights and manage the risk of Modern Slavery within our own organisation and supply chain.

2. Our Structure, Operations and Supply Chain

Our Structure

Astellas Australia is a proprietary company, limited by shares and incorporated in New South Wales. Astellas Australia employs approximately 85 people. Astellas Australia does not own or control any other entities.

Astellas Pharma Inc. is the ultimate holding company of the Astellas group including Astellas Australia. Astellas Pharma Inc. is incorporated in Japan and listed on the Tokyo Stock Exchange.

Our Operations

Astellas Australia is the sales and marketing affiliate for Australia and New Zealand. Our operations are conducted from our office in Macquarie Park, Sydney New South Wales.

Astellas Australia imports Astellas products into Australia.

Our Supply Chain

Astellas Australia's supply chain is comprised of suppliers of indirect goods and services (information technology, professional services, sales and marketing agencies and facilities). Examples of the goods and services procured are in the next section.

The products for which Astellas Australia has marketing authorizations are imported from countries outside Australia such as Ireland, the UK and Japan, then delivered by local wholesalers to hospitals and pharmacies.

3. Potential risks of Modern Slavery practices in our operations and supply chain

In its Commonwealth Modern Slavery Act 2018 – Guidance for Reporting Entities (**Guidance**), the Australian Government acknowledges that Modern Slavery is a complex problem and that Modern Slavery 'can occur in every industry and sector'.

The Australian Government recommends in its Guidance that reporting entities take a 'prioritised, risk-based approach' to assessing Modern Slavery risks including to consider risk factors such as the sector, industry, types of products and services, geographic locations and business models.

In our previous Statements, we identified key risk areas based on the Guidance. During this reporting year, we have confirmed the risks of Modern Slavery in our operations and supply chains and the following risk areas still apply:

- **Product and services:** Astellas Australia procures stationery, including for marketing purposes. Paper is globally recognised as a high-risk product. We procure the paper for our office use through established Australian suppliers who we consider to be lower risk.
- **Sector and industry risks:** Cleaning and electronics are globally recognised as high-risk industries. Astellas Australia uses the same cleaning services arranged by the commercial property manager in the commercial office building where Astellas Australia operates. Our IT department also procures phone headsets, mobile phones, laptops, monitors and accessories from Australian suppliers who we consider to be lower risk.
- **Geographic risks:** We acknowledge that some countries may have higher risks of Modern Slavery. Astellas Australia does not procure goods from overseas entities but does procure some services from overseas entities through an Astellas global contract.

We will continue to use these potential risk factors to prioritise our supplier engagement activities over the coming financial year(s).

At a global level, Astellas has conducted risk assessments to identify Modern Slavery risks in Astellas' operations and supply chain. The risk assessments and the outcomes of those assessments conducted at global level are as follows:

- Astellas has implemented a Third-Party Lifecycle Management (**TPLM**) program. The TPLM program assesses our business partners against established requirements covering several global risk domains (including human / labor rights) to ensure compliance. Astellas seeks to actively and appropriately manage risk throughout the lifecycle of a third-party relationship. This program is overseen globally by Astellas departments responsible for risk domain(s) that are properly aligned to their expertise.

- As part of the TPLM program, Astellas conducts questionnaire surveys with both Astellas personnel who engage business partners as well as the business partners in our supply chain. Responses are reviewed by subject matter experts that are assigned to review those internal and external responses prior to entering into an agreement. Astellas seeks to continuously measure, mitigate and monitor business partners' inherent risk.
- Astellas implemented the TPLM Program at the Astellas Australia level at the end of November 2020. The TPLM Program continues to be a strategy for Astellas Australia managing risks including Modern Slavery risks.
- Astellas also has whistleblowing helplines and in the reporting year ended 31 March 2023 there were no significant human rights issues reported through these helplines.

During the reporting year covered by this Statement these global performance indicators did not identify any heightened risk of Modern Slavery.

4. Actions taken by us to assess and address risks

A group wide approach

Astellas has continued to take a global approach to addressing Modern Slavery risks and accordingly Astellas Australia complies with the following group policies. These group policies are intended to promote ethical and legally compliant business conduct, respect for human rights and manage the risk of Modern Slavery within our own organisation and supply chain. These include:

- **the Astellas Group Code of Conduct** states our commitment against forced labor and, in the selection of business partners, their commitment to human rights and labor standards.
- **the Astellas Business Partner Code of Conduct** references our expectations regarding the human rights of workers and specifically provides that business partners shall not use forced, bonded or indentured labor, involuntary prison labor or child labor;
- **the Astellas Position on Human Rights** emphasizes and expands on our commitment to human rights and high labor standards, has been publicly available since April 2017 and was most recently updated in November 2022. This is a commitment that supports embedding respect for human rights throughout the Astellas Group.
- **Astellas' Materiality Matrix** identifies 'Responsible supply chain management', which includes human rights in labor in its definition as one of the 'Most Important' material issues on a scale of 'Important', 'Very Important' and 'Most Important'.

As mentioned above, Astellas has continued to implement the TPLM program to assess and address risks in our third-party business partner relationships and conducts a survey to assess and address human rights risks in our operations.

A speak up culture

Astellas fosters a speak up culture, which has not identified any actual incidents of Modern Slavery. Our speak up culture informs our internal communications and requires Astellas employees to promptly report any known or suspected violation of the Astellas Group Code of Conduct or other illegal or unethical behaviour or business practices. Therefore, if there are any concerns relating to Modern Slavery incidents or risks, these concerns can be raised by any employee in confidence without fear of retaliation by raising concerns directly with a manager or through independent third party or internal whistleblowing helplines. Astellas maintains internal accountability standards and procedures for employees or contractors failing to meet Astellas' standards regarding Modern Slavery by

documenting and / or investigating alleged violations of the Astellas Group Code of Conduct, which can result in disciplinary action in accordance with applicable laws, regulations, and Astellas policies and procedures in the relevant country of employment or assignment. Disciplinary action can vary based on country of employment or assignment; it may include implementation of corrective actions or termination of the relationship.

We understand the need for employee feedback and the right to remedy, and so we have implemented reporting mechanisms through which employees can file complaints, without fear of reprisal and on a confidential basis.

Training and education

- Astellas provides an e-learning and/or live trainings once a year to introduce the Astellas Group Code of Conduct which states among other things our commitment to respecting human rights including the prohibition of child labor and forced labor. Non-executive outside directors and Astellas employees, full / part time directors, and officers worldwide are required to take this training annually.
- A message from the Head of Sustainability was sent to all Astellas group employees to remind them of the importance of Astellas' engagement with human rights issues in December 2022.
- Astellas provides business partners with the Astellas Business Partner Code of Conduct.

Our Approach to Risk Management

Our activities to combat Modern Slavery are risk based and will continue to correspond with the level of risk identified. We consider this to be consistent with the Guidance where the Australian Government encourages reporting entities to take a prioritised, risk-based approach.

Pursuant to the Astellas Group Code of Conduct, Astellas selects business partners based on various qualifying criteria, including their commitment to human and labor rights and other ethical and social responsibility standards, including past conduct relating to those issues.

Astellas has a whistleblowing helpline link on the Astellas global website to enable reporting of a suspected violation of any Astellas policy, procedure or Astellas Code of Conduct. Anyone can access the whistleblowing helpline link (<https://www.astellas.com/en/contact-us>) to report any non-compliance.

If Astellas identifies non-compliance with a contractual, legal, regulatory, or industry-based requirement, Astellas works with business partners to correct the issue. If the issue cannot be corrected, Astellas reserves the right to transition to a different partner.

5. Communicating what we are doing

Astellas Australia intends to publish this Statement on our website.

6. Measuring Effectiveness

In order to monitor the effectiveness of the steps we are taking; we will continue to:

- Monitor Modern Slavery concerns raised with whistleblowing helplines centralized by Astellas; and
- Develop a corrective and preventative action plan, including auditing, where we assess that there may be a heightened risk of Modern Slavery with specific business partners.

Astellas Australia has implemented the TPLM program since November 2020. The program assesses our business partners against established requirements covering several global risk domains (including human / labor rights) to ensure compliance.

As part of the TPLM program, Astellas Australia conducts questionnaire surveys with both Astellas personnel who engage business partners as well as business partners in our supply chain, while assigning subject matter experts to review those responses prior to entering into an agreement.

7. Looking Forward

In order to raise awareness about the risks of Modern Slavery, we will take the following actions during future reporting periods:

- Astellas Australia will seek to actively and appropriately manage risk throughout the lifecycle of a third-party relationship. This program is overseen by Astellas departments responsible for risk domain(s) that are properly aligned to their expertise.
- Astellas will seek to actively and appropriately measure, mitigate and monitor business partners' inherent risk. Since the inception of the TPLM program, there have been limited human / labor rights issues identified to date.
- Astellas has a global Human Rights and TPLM program that has evolved and will continue to do so. We show continuous improvement in the statement, for example 'The Astellas Position on Human Rights emphasizes and expands on our commitment to human rights and high labour standards, has been publicly available since April 2017 and was most recently updated in November 2022.' and 31 March 2020 statement said 'Astellas Australia intends to implement the TPLM program by 31 March 2021 to assess risk, including due diligence, in its supply chain. The TPLM program is currently being used by other entities in the Astellas group to ensure compliance with the requirements which must be met throughout a third party business partner relationship, so that Astellas is appropriately managing risk throughout the lifecycle of an engagement with respective third party business partners. These programs are managed by risk expert departments, which are separated from operational business functions. The TPLM program includes a risk domain matrix with considerations for core labour rights and human rights, including freely chosen employment'.

8. Consultation

Astellas Australia does not own or control other entities and accordingly consultation for the purpose of the Act was not required. We did, however, consult and work with Astellas Pharma Inc. on developing this Statement.

9. Other relevant information

Modern Slavery laws in other jurisdictions

Other Astellas group companies are also required to comply with Modern Slavery laws in other jurisdictions. Astellas Australia has had the benefit of working closely with Modern Slavery law experts in other jurisdictions.

In addition, Astellas Australia is sharing information about the Australian regime with other Astellas group companies in recognition that the Act is considered by global civil society groups as a significant "step up" in Modern Slavery law reporting requirements. We hope that this knowledge sharing will contribute in a meaningful way to the development of relevant policies and procedures.

This Statement was approved by the board of directors of Astellas Pharma Australia Pty Ltd on 21 August, 2023.

DocuSigned by:

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Elizabeth Marett
Managing Director
Astellas Pharma Australia Pty Ltd

Monday 21 August 2023

Astellas Pharma Australia Pty Ltd. Registered in New South Wales number ABN 81 147 915 482 with registered office at Level 2, 2 Banfield Rd Macquarie Park NSW 2113 Australia.

MODERN SLAVERY ACT 2018 (CTH) – STATEMENT ANNEXURE**Mandatory criteria**

Please indicate the page number/s of your statement that addresses each of the mandatory criteria in section 16 of the Act:

Mandatory criteria	Page number/s
a) Identify the reporting entity.	1
b) Describe the reporting entity's structure, operations and supply chains.	1 – 2
c) Describe the risks of modern slavery practices in the operations and supply chains of the reporting entity and any entities it owns or controls.	2 – 3
d) Describe the actions taken by the reporting entity and any entities it owns or controls to assess and address these risks, including due diligence and remediation processes.	3 – 4
e) Describe how the reporting entity assesses the effectiveness of these actions.	4 – 5
f) Describe the process of consultation on the development of the statement with any entities the reporting entity owns or controls (a joint statement must also describe consultation with the entity covered by the statement).*	5
g) Any other information that the reporting entity, or the entity giving the statement, considers relevant.**	5
h) The reporting entity must ensure that the statement is approved by the principal governing body of the entity and signed by a responsible member of the entity.	6